

## **USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION BOOKLET GUIDELINES**

This booklet is to be used to record a voluntary examination of an Uninspected Passenger Vessel. It provides a summary list of Coast Guard requirements to examiners and owners/operators of an Uninspected Passenger Vessel. This booklet should be used in conjunction with the regulations or other aids developed by the Coast Guard to assist in understanding of the regulations. This form must be used with the Requirements for Uninspected Passenger Vessels (LANT/PACAREAINST 16710.2).

Examiners should leave a (copy) of this form with the vessel when completed.

### **PRIVACY ACT STATEMENT VOLUNTARY DOCKSIDE EXAMINATIONS UNINSPECTED PASSENGER VESSELS**

**PRIVACY ACT STATEMENT:** Required by Public law 93-579

**AUTHORITY:** 46 USC 4101, USC 4102, 46 USC 4103, 46 USC 4105, 46 USC 4106, 46 USC 6101, 46 USC 6102, 46 USC 6103, 46 USC 7101, 46 USC 7102, 46 USC and 14 USC 89.

**PRINCIPAL PURPOSE (S):** To document the Voluntary Dockside Examiner's report, enhance Passenger Vessel safety and promote public awareness and education. Information may be retained on file indefinitely.

**ROUTINE USE (S):** This information is to be used for uniform Coast Guard reporting and administration of voluntary Dockside Examination data. It will be used to record the number of vessels and level of compliance with Coast Guard regulations.

**MANDATORY OR VOLUNTARY DISCLOSURE:** Providing any information during the course of a voluntary dockside examination is voluntary. Failure to provide information necessary to ensure compliance with applicable regulation may prevent issuance of the safety decal.

Providing a vessel document/certificate of number by the operator of a vessel is mandatory. Failure to provide vessel documentation/registration will prevent issuance of the safety decal.

**USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION RESULTS**  
**< 100 GT ≤ 6 Passenger for Hire**

<b>Vessel Name</b>			<b>Vessel Examination Location</b>		<b>Prior Decal</b> [ ] Yes [ ] No	<b>Photos</b> [ ] Bow [ ] Stern
<b>Registration/COD #</b>  <b>Expiration Date:</b>			<b>Hailing Port</b>		<b>HIN</b>	
<b>Vessel Make, Model &amp; Year Mfg.</b>			<b>Length</b>	<b>Gross Tons</b>	<b>Net Tons</b>	<b>Max POB</b>
<b>Fuel Type:</b> <input type="checkbox"/> Gas <input type="checkbox"/> Diesel <input type="checkbox"/> Electric	<b>Propulsion:</b> <input type="checkbox"/> Inboard <input type="checkbox"/> Outboard <input type="checkbox"/> Sail <input type="checkbox"/> Rowed	<b>Hull Structure:</b> <input type="checkbox"/> Wood <input type="checkbox"/> Fiberglass <input type="checkbox"/> Steel <input type="checkbox"/> Aluminum	<b>Horsepower:</b> <input type="checkbox"/> Single _____ <input type="checkbox"/> Twin _____	<b>Vessel Route &amp; Endorsement</b> <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Local Area  <input type="checkbox"/> Inland  <input type="checkbox"/> Great Lakes  <input type="checkbox"/> Near Coastal  <input type="checkbox"/> Oceans           </div> <div> <input type="checkbox"/> Livery for Hire  <input type="checkbox"/> Coastwise           </div> </div>		
<b>Owner Name</b>			<b>Marine Employer</b>			
<b>Mailing Address, City, State, Zip Code</b>			<b>Mailing Address, City, State, Zip Code</b>			
<b>Telephone:</b>  <b>Email Address:</b>			<b>Telephone:</b>  <b>Email Address:</b>			
<b>Name/Reference #/Expiration Date on Merchant Mariner Credential:</b>						
<input type="checkbox"/> A voluntary UPV dockside examination has been completed. However as a result of the examination of this vessel, the following deficiencies were noted that prevented the issuance of the Uninspected Passenger Vessel Safety Decal: (note deficiency with an explanation and identify any Extremely Hazardous Conditions (EHC) :     						
When these deficiencies are corrected, please call to schedule a re-examination. <b>Examiner Printed Name &amp; ID #:</b> _____ <b>Telephone #:</b> _____						
<b>Examiner Signature:</b> _____			<b>Date:</b> _____			
<b>Congratulations!</b> Your vessel is in full compliance with all applicable UPV regulations. The issued decal is valid for <b>ONE</b> year provided that all regulatory and operating requirements remain current. Please keep this form on board and show it to the Coast Guard if the vessel is boarded. <p align="center"><b>DECAL EXPIRATION DATE:</b> _____</p>						
<i>I CERTIFY that I have personally examined the vessel and find it meets the requirements of this report at the time of the examination.</i> <b>Examiner Printed Name &amp; ID:</b> _____ <b>Issuing Examiner Signature:</b> _____ <b>Telephone #</b> _____						
By accepting this UPV decal you are pledging to always maintain your vessel and equipment to the standard of safety qualified for during this examination. Remove this decal if the vessel is sold or the vessel no longer meets the requirements or no longer engages as an Uninspected Passenger Vessel.						
<b>Vessel Representative Signature:</b> _____			<b>Date:</b> _____			
<b>MISLE Entrv Date:</b>			<b>Signature:</b>			
LANT/PACAREAINST 16710.2			Original – USCG    Copy – Owner    Copy - Examiner			Page 1 of 3



## REQUIREMENTS FOR UNINSPECTED PASSENGER VESSEL

REQUIREMENTS	GUIDE PAGE	REGULATION	YES	NO	N/A
<b>PERSONNEL LICENSING</b>					
USCG License: Original on board and current for area of operations & tonnage	10	46 CFR § 15.401/.605/.905 & 46 CFR § 26.20-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sufficient operators for two watches (Voyages > 12 hrs)	11	46 USC 8104 (b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>DOCUMENTATION: VESSELS ≥ 5 NET TONS</b>					
Current and original on board	12	46 CFR § 67.7/67.15/.313/.319	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Endorsed for Coastwise Trade	12	19 CFR § 4.80 & 46 CFR § 67.19/.163	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Official number correct size, permanently affixed and visible on interior structural part of hull	12	46 CFR § 67.121	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Display of name/Hailing port correct size and location	13	46 CFR § 67.117/.119/.123 (a)(b)(d)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>DOCUMENTATION: VESSELS &lt; 5 NET TONS</b>					
Valid State Numbering Certificate on board	13	33 CFR § 173.21/.23 & 174.19(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
State Numbers displayed correct size and location	13	33 CFR § 173.25/.27	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>DRUG AND ALCOHOL TESTING IN EFFECT</b>					
Marine Employer participating in chemical testing program	14	46 CFR § 16.113	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
All crewmembers in random drug testing program	14	46 CFR § 16.230	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Aware of required action of failed chemical test	15	46 CFR § 16.201	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Alcohol Testing equipment on board for all crewmembers	15	46 CFR § 4.06-15	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Aware prohibition of vessel operations while intoxicated.	17	33 CFR § 95.015/.020 (b)(c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>MARINE ACCIDENT AND CASUALTY REPORTING - OPERATOR AWARE OF REPORTING REQUIREMENTS</b>					
State Numbered Vessels: Aware of reporting requirements	19	33 CFR § 173.51/.59 46 CFR § 4.01-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Documented Vessels: Aware of reporting requirements	19 & 20	46 CFR § 4.01-1/4.03-1/4.03-2/4.05-1 /44.05-10, /44-05-12	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>COMMUNICATIONS</b>					
VHF-FM Radio: ≥ 20 meters - Power driven vessels Radiotelephone VHF-FM required with ch. 22A and depending on location ch. 67. 2nd required in VTS area	21	33 CFR § 26.03 (a) (1) (e) (f)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FCC Marine Radio Ship Station License: ≥ 20 meters - Valid license required for SSB/HF and/or International Voyage.	21	47 CFR § 80.13 & .25	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Radio Operator Permit: ≥ 20 meter Valid	21	47 CFR § 80.163	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>CHARTS AND NAUTICAL PUBLICATIONS</b>					
Current charts of operating area, Coast Pilot, Light List & Tide Tables - extracts or copies of transited area acceptable	22	46 CFR § 26.03-4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inland Navigation Rules: ≥ 20 meter - where required	23	33 CFR § 88.05	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>NAVIGATION LIGHTS AND SOUND SIGNALS</b>					
Proper and operational navigation lights	23	COLREGS Rule 23	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Operational sound producing device. ≥ 20 meters required bell Inland Waters	24	COLREGS Rule 33	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Vessel Name: \_\_\_\_\_

Date of Exam: \_\_\_\_\_

## REQUIREMENTS FOR UNINSPECTED PASSENGER VESSEL (Continued)

REQUIREMENTS	GUIDE PAGE	REGULATION	YES	NO	N/A
<b>GARBAGE POLLUTION PREVENTION</b>					
Garbage Placard(s): Vessels ≥ 26 ft. posted in prominent locations	26 & 27	33 CFR § 151.59/151.66	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Waste Management Plan: on board for vessels ≥ 12 meters	28	33 CFR § 151.57	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>LIFESAVING EQUIPMENT</b>					
Personal Flotation Device: 1 approved Type I in servicable condition of appropriate size readily available for each person on board	29	46 CFR § 25.25-5 (c), § 25.25 -7 §25.25-9 (a), § 25.25-11, § 160.002-6	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hybrid PFD may be substituted if worn	29	46 CFR § 25.25-5 (f) (1) (2) (3) 46 CFR § 160.077-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PFD's: Retro Reflection material and light as applicable	29	46 CFR § 25.25-13/-15	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ring Buoy: Approved, immediately available with grabline in serviceable condition. Applies to vessels ≥ 26 ft.	30	46 CFR § 25.25-5 (d)/-7/-9 (b,) § 25.25-11, § 160.050	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Visual Distress Signals: number required, readily accessible, not expired.	31	33 CFR § 175.19/ § 175.110/.120/ § 175.125/.128/.130	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>FIRE PREVENTION AND SUPPRESSION</b>					
Fire Extinguisher(s): Sufficient number of servicable Type B USCG approved or UL listed for marine use. Current service date where applicable.	35	46 CFR § 25.30-5 (b), §25.30-10 (b), (1) (3) (4), § 25.30-20 (a) (1) & (b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gas Engines: ventilation ducts, blower(s) and backfire flame control	37, 38 & 41	33 CFR § 183.601 (2) (d) (f)/183.610 46 CFR § 25.35 -1/.40-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cooking, Heating and Lighting Systems: All secured and compliant with remote shut off valve on LPG or CN cooking systems	40	46 CFR § 25.45-1/-2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>SAFETY INSTRUCTIONS AND ORIENTATION</b>					
Safety Orientation: Announcements are made prior to journey	43	46 CFR § 26.03-1 (a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Passenger List or Count: Conducted for each day of operation	43	46 USC 3502	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Emergency Instructions: Posted in prominent & accessible location	44	46 CFR § 26.03-1 (a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>MARINE SANITATION DEVICES</b>					
Certified Marine Sanitation Device correct for length Type 1 (only under 65ft.) , Type II or Type III	46	33 CFR § 159.7 (a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Acceptable method(s) of securing overboard discharge device	47	33 CFR § 159.7 (b) c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Identification placard attached on Type I or II	47	33 CFR § 159.55/.59	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>OIL POLLUTION PREVENTION</b>					
Oil Pollution Placard: applies to vessels ≥ 26 ft. 5" x 8" posted in machinery space and bilge control area.	48	33 CFR § 155.450	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bilge Slops Retention: ability to contain oily water on board; oily-water separator.	49	33 CFR § 155.330/.350/.770	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Vessel Name: \_\_\_\_\_

Date of Exam: \_\_\_\_\_